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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/599,088
Filing Date	9/19/2006
First Named Inventor	Chung-Cheung Chu
Art Unit	2614
Examiner Name	Phung-Hoang Nguyen
Attorney Docket Number	1809/61/2 PCT/US

Sheet 1 of 2

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	1	US-2007/0104114 A1	05-10-2007	Chu et al.	
	2	US-2006/0209873 A1	09-21-2006	Knabchen et al.	
	3	US-2004/0136447 A1	07-15-2004	LeBlanc	
	4	US-2004/0114626 A1	06-17-2004	Cline et al.	
	5	US-2004/0066745 A1	04-08-2004	Joe	
	6	US-2003/0233274 A1	12-18-2003	Urken et al.	
	7	US-2003/0093509 A1	05-15-2003	Li et al.	
	8	US-6,031,904	02-29-2000	An et al.	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	† <sup>4</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
	9	WO 2005/089055	09-29-2005	Chu, et al.		

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet	2	of	2	<b>Complete if Known</b> <b>Application Number</b> 10/599,088 <b>Filing Date</b> 9/19/2006 <b>First Named Inventor</b> Chung-Cheung Chu <b>Art Unit</b> 2614 <b>Examiner Name</b> Phung-Hoang Nguyen <b>Attorney Docket Number</b> 1809/612 PCT/US
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**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	10	Official Action for U.S. Patent Application Serial No. 10/589,435 (August 10, 2010).	
	11	Notice of Panel Decision from Pre-Appeal Brief Review for U.S. Patent Application Serial No. 10/589,435 (May 25, 2010).	
	12	Final Official Action for U.S. Patent Application Serial No. 10/589,435 (November 20, 2009).	
	13	Official Action for U.S. Patent Application Serial No. 11/589,435 (May 14, 2009).	
	14	"Rationale for Reverse Direction Capability List," Study Group 16, ITU – Telecommunication Standardization Sector, Nortel Networks (November 2005).	
	15	"Digital Cellular Telecommunications System (Phase2+); Universal Mobile Telecommunications System (UMTS) Inband Tandem Free Operation (TFO) of Spec Codecs," (2004-12), ETSI TS 128 062 v. 6.1.0, European Telecommunications Standards Institute (December 2004).	
	16	"Series G: Transmission Systems and Media, Digital Systems and Networks," ITU-T, G.161, International Telecommunication Union (June 2004).	

Examiner Signature	Date Considered
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